

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Steven M. H. WALLMAN

Serial Number : 10/627,873

Filed : July 28, 2003

For : METHOD AND APPARATUS FOR ENABLING INDIVIDUAL OR  
: SMALLER INVESTORS OR OTHERS TO CREATE AND  
: MANAGE A PORTFOLIO OF SECURITIES OR OTHER  
: ASSETS OR LIABILITIES ON A COST EFFECTIVE BASIS

Art Unit : 3694

Examiner : Ms. Susanna M. Meinecke Diaz

Mail Stop: **AMENDMENT**  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313

**PETITION TO EXTEND TIME TO RESPOND AND  
RESPONSE TO OFFICE ACTION**

Sir:

The Applicant hereby petitions the Assistant Commissioner to grant a one (1) month extension of time, up to and including December 10, 2007 in which to respond to the Office Action mailed August 9, 2007 in the above-identified application. The small entity extension fee in the amount of \$60.00 may be charged to the Deposit Account of Kenyon & Kenyon LLP, Deposit Account No. 11-0600. In response to the Office action mailed on August 9, 2007 ("Office action"), Applicant respectfully requests reconsideration of the application in light of the following amendments and remarks:

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** begin on page 4 of this paper.

**Remarks** begin on page 6 of this paper.